

防制洗錢及打擊資恐內部控制制度聲明書

Statement on Internal AML/CFT Control

謹代表美商道富銀行臺北分行聲明本公司於109年1月1日至109年12月31日確實遵循防制洗錢及打擊資恐相關法令，建立內部控制制度，實施風險管理，並由超然獨立之稽核部門執行查核，定期陳報總行。經審慎評估，本年度各單位防制洗錢及打擊資恐內部控制及法規遵循情形，均能確實有效執行。

On behalf of State Street Bank and Trust Company, Taipei Branch, we hereby undertake that from January 1, 2020 to December 31, 2020 our Company has duly complied with relevant regulations governing anti-money laundering and countering the financing of terrorism in establishing an internal control system, implementing risk management, designating an independent and objective internal unit to conduct audit, and submitting the audit report periodically to the Company's Headquarter. Following prudent evaluation, it is found that each unit of the Company has effectively implemented internal controls for anti-money laundering and countering the financing of terrorism during the year.

謹致

金融監督管理委員會

To: Financial Supervisory Commission

聲明人 Undersigned


指定代表：Ilona Chen
Designated Representative

 (簽章)

負責臺灣區稽核業務之主管：Purcell Won
Auditor in charge of auditing in Taiwan

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防制洗錢及打擊資恐專責主管：Candance Wu
Chief AML/CFT Compliance Officer in Taiwan

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中 華 民 國 110 年 3 月 22 日